

# statistical bulletin

january 2, 1974

vol. 33 no. 1

ROUND-LOT SHARE VOLUME ON NYSE	1
SHARE VOLUME FOR ODD-LOT ACCOUNTS OF ODD-LOT DEALER ON THE NYSE	2
ODD-LOT CUSTOMERS SHARE VOLUME ON THE NYSE: 100 COMMON STOCKS	3
ROUND-LOT AND ODD-LOT SHARE VOLUME ON THE AMEX	5
FORM 144 FILINGS	6
SCHEDULE 13-D REPORTS	15
ASSETS OF NONINSURED PENSION FUNDS	17
STOCK TRANSACTIONS OF SELECTED FINANCIAL INSTITUTIONS	18
CUMULATIVE INDEX OF SERIES APPEARING IN THE STATISTICAL BULLETIN	25

**ROUND-LOT SHARE VOLUME ON THE NEW YORK STOCK EXCHANGE  
(SHARES AND WARRANTS)<sup>1/</sup>**

Week Ended December 7, 1973

Trade Date	Total Reported Volume	Transactions of Members for Own Account, Except for Odd-Lot Dealer <sup>2/</sup>		
		As Specialists	As Floor Traders	Others
<b>Mon.</b> <sup>01</sup> Purchases	18,115,310	2,640,580	105,600	1,141,752
<sup>02</sup> Sales-Total	18,115,310	2,229,490	53,900	1,196,779
<sup>03</sup> -Short	1,023,190	452,540	5,500	225,400
<b>Tues.</b> <sup>04</sup> Purchases	19,291,350	3,041,670	103,900	1,576,771
<sup>05</sup> Sales-Total	19,291,350	2,794,000	133,400	1,470,350
<sup>06</sup> -Short	1,123,930	532,130	9,400	263,220
<b>Wed.</b> <sup>07</sup> Purchases	19,353,860	2,370,090	65,200	1,373,200
<sup>08</sup> Sales-Total	19,353,860	2,576,200	101,900	1,418,816
<sup>09</sup> -Short	1,034,100	536,040	12,800	212,600
<b>Thurs.</b> <sup>10</sup> Purchases	23,478,720	3,325,740	164,000	1,675,590
<sup>11</sup> Sales-Total	23,478,720	3,348,260	101,800	1,834,759
<sup>12</sup> -Short	1,599,410	772,420	21,700	430,100
<b>Fri.</b> <sup>13</sup> Purchases	23,450,060	3,026,950	91,000	1,555,920
<sup>14</sup> Sales-Total	23,450,060	4,240,780	219,700	2,015,230
<sup>15</sup> -Short	2,240,480	1,280,640	77,100	460,700
<b>WEEK</b> <sup>16</sup> Purchases	103,689,300	14,405,030	529,700	7,323,233
<sup>17</sup> Sales-Total	103,689,300	15,188,730	610,700	7,935,934
<sup>18</sup> -Short	7,021,110	3,573,770	126,500	1,592,020

<sup>1/</sup> Warrants have been included with share volume since January 3, 1972.

<sup>2/</sup> The term "members" includes regular Exchange members, Exchange allied members and Exchange member organizations. Transactions for the odd-lot account of the odd-lot dealer are reported separately. All sales totals include short sales.

**SHARE VOLUME FOR ODD-LOT ACCOUNTS  
OF ODD-LOT DEALER ON THE NEW YORK STOCK EXCHANGE**

Week Ended December 7, 1973

Trade Date 6	Customers' Odd-Lot Transactions <sup>1/</sup>		Dealer Round-Lot Transactions		51
	9 Shares	17 Value	27 Shares	35 Value	
Mon. <sup>81</sup> Purchases	334,669	11,273,964	85,740	2,049,176	NYSEOL2
<sup>82</sup> Sales-Total	555,802	25,229,181	293,970	15,225,753	
<sup>83</sup> -Short	15,267	1,042,047	0	0	
Tues. <sup>84</sup> Purchases	323,533	10,565,720	84,340	2,073,315	
<sup>85</sup> Sales-Total	512,675	21,702,061	280,170	13,818,635	
<sup>86</sup> -Short	11,108	801,701	0	0	
Wed. <sup>87</sup> Purchases	315,006	9,681,112	80,510	1,828,153	
<sup>88</sup> Sales-Total	490,108	19,545,960	252,890	11,807,879	
<sup>89</sup> -Short	9,705	657,180	0	0	
Thurs. <sup>90</sup> Purchases	375,784	12,529,831	139,120	3,302,286	
<sup>91</sup> Sales-Total	500,256	19,988,897	240,380	10,201,266	
<sup>92</sup> -Short	10,742	759,435	0	0	
Fri. <sup>93</sup> Purchases	498,702	16,891,547	208,720	5,997,710	
<sup>94</sup> Sales-Total	431,048	16,963,254	178,090	7,198,385	
<sup>95</sup> -Short	7,961	468,725	0	0	
WEEK <sup>96</sup> Purchases	1,847,694	60,942,174	598,430	15,250,640	
<sup>97</sup> Sales-Total	2,489,889	103,429,353	1,245,500	58,251,918	
<sup>98</sup> -Short	54,783	3,729,088	0	0	

<sup>1/</sup> In odd-lot transactions, customers' purchases are dealers' sales and sales are dealers' purchases.

**NOTE:** All sales totals include short sales

WU-LUT CUSTOMERS' PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE  
IN 100 SELECTED COMMON STOCKS

NAME OF STOCK	WEEK ENDED NOVEMBER 23, 1973		WEEK ENDED NOVEMBER 30, 1973		WEEK ENDED DECEMBER 07, 1973		WEEK ENDED DECEMBER 14, 1973	
	PURCHASES *****	SALES *****	PURCHASES *****	SALES *****	PURCHASES *****	SALES *****	PURCHASES *****	SALES *****
ADMIRAL CORPORATION	401	320	538	826	221	767	530	710
ALLIED CHEMICAL CORPORATION	1,468	9,249	1,627	9,402	1,617	11,987	1,308	9,299
ALLIS CHALMERS CORP.	1,532	875	834	1,056	1,311	1,280	866	1,670
ALUMINUM CO. OF AMERICA	630	1,790	1,266	1,988	832	1,724	973	3,502
AMERICAN AIRLINES, INC.	3,055	3,063	2,689	5,220	4,513	4,485	4,859	5,466
AMERICAN BRANIFF, INC.	1,343	3,318	2,442	3,633	3,911	3,558	1,997	2,606
AMERICAN CAN COMPANY	2,257	3,262	4,836	4,038	3,949	3,633	2,566	3,157
AMERICAN CYANAMID CO.	1,000	3,598	1,769	4,401	4,629	4,472	7,242	4,191
AMERICAN MOTORS CORP.	3,801	2,423	11,277	5,623	5,882	3,434	6,758	3,659
AMERICAN TEL. & TEL. CO.	2,579	4,753	32,112	50,643	32,909	49,345	23,950	42,325
AMF INCORPORATED	1,980	1,900	2,157	2,461	4,480	2,601	3,766	2,529
AMPEX CORPORATION	587	1,663	1,101	2,579	1,000	2,084	1,190	2,082
ANACONDA COMPANY	513	4,068	944	4,731	834	3,756	1,263	4,465
APECO CORPORATION	335	1,021	365	1,354	358	1,256	173	1,300
AVCO CORPORATION	314	1,309	1,102	2,971	913	2,931	1,122	2,231
AVNET, INC.	858	1,139	1,491	1,915	1,152	1,720	803	1,453
BETHLEHEM STEEL CORPORATION	959	5,747	1,046	6,110	1,132	4,461	1,536	4,441
BUELL COMPANY	1,622	3,247	3,276	4,553	2,751	4,317	4,241	4,387
BRUNSWICK CORPORATION	2,684	1,537	4,094	1,944	3,616	1,422	4,982	971
BURROUGHS CORPORATION	790	8,558	2,526	14,599	2,360	11,259	5,142	15,459
CHRYSLER CORPORATION	11,946	10,281	18,865	15,205	29,748	14,831	24,991	10,806
COLUMBIA GAS SYSTEM, INC.	665	2,726	591	3,307	937	3,114	963	2,810
COLUMBIA OIL REFINING CO.	443	1,135	1,335	1,195	582	1,362	1,191	1,132
COMMERCIAL OIL REFINING CORP.	634	2,175	1,188	2,722	1,865	2,378	1,839	2,378
COMMUNICATIONS SATELLITE CORP.	6002	6,324	8,595	9,428	12,097	9,624	7,884	6,952
CONSOLIDATED EDISON CO. OF N.	1,286	1,437	1,602	1,668	2,394	2,421	1,756	2,456
CONTINENTAL AIRLINES, INC.	3,151	4,166	2,974	4,594	2,711	4,428	3,926	5,619
CONTINENTAL DATA CORPORATION	1,554	1,755	2,745	3,012	2,260	2,471	1,832	2,966
DART INDUSTRIES, INC.	2,624	8,889	2,839	9,651	1,979	6,853	2,903	7,433
DOW CHEMICAL COMPANY	2,350	6,877	2,228	8,741	3,249	10,177	3,241	7,241
DU PONT DE NEMOURS (E.I.) & C.	3,487	3,580	4,183	5,061	4,925	4,491	5,342	4,442
EASTMAN AIR LINES, INC.	9,369	24,277	15,298	34,197	17,260	41,541	16,998	20,883
EASTMAN KODAK COMPANY	621	858	737	1,227	988	968	870	731
ESMARK INC.	6,036	29,959	6,685	36,066	8,162	24,508	10,035	21,505
EXACOR CORPORATION	7,065	7,795	7,184	8,041	7,555	5,017	8,737	6,735
FAIRCHILD CAMERA & INSTRUMENT	235	483	486	455	345	1,133	326	578
FAIRCHILD INDUSTRIES, INC.	10,788	10,346	9,075	13,965	18,903	13,754	19,159	8,578
FORD MOTOR COMPANY	1,797	1,980	2,783	3,764	3,745	3,618	3,206	2,572
GAF CORPORATION	170	2,062	188	3,665	588	2,074	429	1,433
GENERAL DYNAMICS CORP.	4,224	15,906	5,705	21,022	6,961	17,109	9,076	12,921
GENERAL ELECTRIC CORPORATION	3,547	3,160	1,850	5,697	2,897	4,171	1,803	3,094
GENERAL FOODS CORPORATION	3,772	5,063	8,083	7,882	7,468	68,396	94,386	48,324
GEN'L TEL. & ELECTRONICS CORP.	5,697	8,537	6,330	11,165	6,546	11,261	4,522	9,893
GOODYEAR TIRE & RUBBER CO.	4,617	5,025	5,021	5,825	7,932	5,579	9,369	5,312
GREAT WESTERN FINANCIAL CORP.	290	493	456	460	443	684	566	585
GULF OIL CORPORATION	1,204	15,082	27,433	16,068	20,947	14,716	20,807	12,639
GULF & WESTERN INDUSTRIES	642	2,537	743	944	476	3,086	1,024	2,907
HIGH VOLTAGE ENGINEERING CO.	421	348	100	451	385	540	365	344
HONEYWELL, INC.	1,376	3,744	2,937	7,981	2,620	4,761	3,086	4,109
INT'L BUSINESS MACHINES CORP.	17,425	44,476	18,270	55,926	24,913	55,265	34,805	42,207

WEEK-TO-DATE CUSTOMERS PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE  
IN 100 SELECTED COMMON STOCKS

NAME OF STOCK	WEEK ENDED NOVEMBER 23, 1973		WEEK ENDED NOVEMBER 30, 1973		WEEK ENDED DECEMBER 07, 1973		WEEK ENDED DECEMBER 14, 1973	
	PURCHASES *****	SALES *****	PURCHASES *****	SALES *****	PURCHASES *****	SALES *****	PURCHASES *****	SALES *****
INTERNATIONAL HARVESTER CO.	3,714	3,075	5,058	4,474	6,528	2,940	4,711	3,311
INT'L NICKEL CO. OF CANADA	278	1,340	507	1,765	375	2,557	773	1,798
INTERNATIONAL PAPER COMPANY	762	4,326	399	4,601	969	4,415	651	3,951
ITEK CORPORATION	1,395	1,858	3,531	2,572	1,358	1,903	10,576	3,733
JOHNS-MANVILLE CORP.	1,049	1,653	1,761	1,647	1,786	2,165	2,355	1,775
LTV CORPORATION	414	1,043	572	2,358	850	2,650	355	1,915
Lear SIEGLER, INC.	719	1,199	864	2,098	4,924	1,827	1,146	1,966
LITTON INDUSTRIES, INC.	2,121	8,901	2,869	9,534	1,635	11,819	2,004	12,307
MAGNAVUX COMPANY	3,008	2,727	4,123	4,229	4,285	3,693	5,195	4,912
MARCOR, INC.	665	2,155	522	3,044	782	2,584	560	1,709
MARTIN-MARLETTA CORP.	1,054	1,987	1,834	2,556	1,810	2,108	1,616	1,760
MCDONNELL DOUGLAS CORP.	2,124	5,108	2,311	6,770	3,206	8,321	1,803	6,542
MINNESOTA MINING & MFG. CO.	3,193	9,005	4,229	12,362	5,787	13,810	6,334	8,571
MUNISANTO COMPANY	4,434	7,641	5,039	8,993	7,158	10,089	7,524	4,046
MOTOROLA, INC.	968	2,045	914	2,277	865	2,477	1,043	2,011
OCCIDENTAL PETROLEUM CO.	3,797	5,337	4,802	10,494	5,085	8,404	5,679	9,590
OWENS-ILLINOIS, INC.	500	1,025	1,647	2,189	499	2,191	918	2,597
PAN AMERICAN WORLD AIRWAYS	2,373	4,645	3,464	6,351	7,299	6,833	6,016	5,967
PASCO INCORPORATED	85	507	335	316	385	263	185	308
PENNY CENTRAL COMPANY	5,670	3,403	8,552	5,043	4,976	5,242	3,375	5,663
PHILLIPS PETROLEUM CO.	2,404	15,119	2,856	10,631	2,443	8,322	3,209	8,117
POLAROID CORPORATION	3,369	6,354	7,236	13,080	8,834	10,453	6,173	7,197
PRUDER & GAMBLE CO.	1,042	4,321	1,442	7,068	2,278	6,076	2,305	9,206
R. J. REYNOLDS INDUSTRIES, INC.	1,121	3,070	2,452	4,213	4,137	3,851	3,593	2,655
ALA CORPORATION	1,651	9,600	20,162	14,567	25,418	12,961	15,953	11,119
RAYTHEON COMPANY	422	1,677	609	1,826	542	1,717	548	747
RLICHOLD CHEMICALS, INC.	344	845	340	774	751	500	422	619
ROKAWELL INT'L. CORP.	1,263	3,166	1,925	4,231	1,679	4,062	3,357	2,996
SANTA FE INDUSTRIES	1,900	2,047	2,515	4,334	5,095	4,122	2,508	3,624
SCM CORPORATION	1,242	1,643	2,454	3,096	2,274	3,063	695	3,106
SEARS, ROEBUCK & CO.	5,479	13,403	7,120	18,938	5,090	18,650	6,950	12,571
SINGER COMPANY	1,821	2,439	3,422	3,134	5,800	4,076	5,697	2,718
SPEKRY RAND CORPORATION	1,565	5,285	1,395	8,507	1,697	5,682	1,767	4,397
STANDARD OIL CO. OF CALIF.	5,142	9,424	7,132	11,475	7,391	8,019	13,648	7,516
TELEDYNE, INC.	1,417	3,156	2,236	4,897	2,716	5,716	1,597	4,938
TENNECO, INC.	3,629	5,477	4,165	7,200	5,290	5,709	4,696	3,964
TEXACO INC.	9,076	15,424	19,720	17,010	16,021	13,987	13,857	13,651
TEXASGULF INC.	794	2,786	1,625	4,021	908	3,096	1,204	3,195
TEXAS INSTRUMENTS, INC.	1,595	11,169	2,285	4,042	3,302	5,252	3,971	3,516
THIOLKOL CHEMICAL CORP.	1,604	778	851	804	755	800	463	929
TRANS WORLD AIRLINES, INC.	2,120	2,338	3,625	2,401	4,654	1,893	4,485	1,506
UNITED AIRCRAFT CORP.	6,082	6,931	9,694	8,934	15,286	7,624	13,753	6,337
UNITED NUCLEAR CORP.	759	1,405	2,302	1,905	3,219	2,211	4,921	2,092
U.S. STEEL CORPORATION	1,870	1,547	2,222	1,762	570	1,266	1,647	1,281
WARNER LAMBERT CO.	1,210	9,602	780	12,321	1,687	8,607	1,295	8,334
WESTERN UNION CORPORATION	2,052	4,903	2,744	6,077	2,653	6,201	3,579	4,939
WESTINGHOUSE ELECTRIC CORP.	4,562	3,415	6,572	3,167	10,080	2,901	15,327	4,946
FW WOLWORTH COMPANY	7,114	6,115	6,198	6,564	6,136	6,291	5,929	4,443
XEROX CORPORATION	3,567	3,385	2,555	3,938	2,706	3,233	4,113	2,637
	4,081	16,234	7,071	26,595	9,461	27,060	8,755	17,263
TOTALS ***	309,110	627,490	513,800	806,379	567,086	751,252	589,944	621,299



**ROUND-LOT AND ODD-LOT SHARE VOLUME  
ON THE AMERICAN STOCK EXCHANGE  
(SHARES)**

Week Ended December 7, 1973

Trade Date 6	Total Round-Lot Transactions 8	Round-Lot Transactions of Members For Own Account <sup>1</sup>			Odd-Lot Transactions Of Customers <sup>2</sup> 41
		18 As Specialists <sup>2</sup>	28 As Floor Traders	33 Others	
Mon. 61 Purchases	3,097,985	424,160	32,900	261,810	26,467
62 Sales-Total	3,097,985	392,625	27,200	156,250	63,136
63 -Short	87,415	27,200	7,100	7,900	0
Tues. 64 Purchases	3,162,725	448,755	41,600	271,850	27,704
65 Sales-Total	3,162,725	476,910	42,300	199,000	58,887
66 -Short	92,660	40,600	6,600	12,300	0
Wed. 67 Purchases	3,536,350	491,310	27,250	159,250	29,216
68 Sales-Total	3,536,350	516,695	26,950	373,450	62,031
69 -Short	67,745	29,100	3,050	3,100	0
Thurs. 10 Purchases	3,490,420	454,085	38,600	263,500	35,655
11 Sales-Total	3,490,420	577,420	33,900	192,950	61,604
12 -Short	102,855	44,400	9,500	16,800	75
Fri. 13 Purchases	3,991,490	442,720	24,600	182,900	41,807
14 Sales-Total	3,991,490	728,330	53,450	184,400	56,573
15 -Short	136,295	88,000	13,750	22,000	125
WEEK 16 Purchases	17,278,970	2,261,030	164,950	1,139,310	160,849
17 Sales-Total	17,278,970	2,691,980	183,800	1,106,050	302,231
18 -Short	486,970	229,300	40,000	62,100	200

<sup>1</sup> The term "members" includes all regular and associate Exchange members, and member firms, general, special and limited partners in member firms, and voting and non-voting stockholders in member corporations.

<sup>2</sup> On the American Stock Exchange, all odd-lot transactions are handled by specialists, and their round-lot purchases and sales for odd-lot account are not segregated from their other round-lot transactions. In odd-lot transactions, customers' purchases are specialists' sales and customers' sales are specialists' purchases.

NOTE: All sales totals include short sales.

1/  
FORM 144 FILINGS FOR WEEK ENDED 12/21/73

THE FOLLOWING FORMS 144 WERE PROCESSED IN THE SECURITIES/EXCHANGE COMMISSION FOR THE WEEK INDICATED ABOVE. ACCEPTANCE OF FORM 144 DOES NOT CONSTITUTE ANY FINDING THAT IT HAS BEEN FILED AS REQUIRED OR THAT THE INFORMATION SUBMITTED IS TRUE, CURRENT OR COMPLETE.

ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE	ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
ACS INDUS INC CCM SCHWEIZER RICHARD	10,000	12/11/73	BARD C R INC CCM WILLITS JOHN F	2,022	
TOTAL.....	10,000		TOTAL.....	30,000	12/10/73
ADVANCED DIGITAL SYS INC CCM SWEET HARRISON S	3,000	/ /	BEST PRODS INC CCM DEVJSS ELSIE F	30,000	
TOTAL.....	3,000		TOTAL.....	100	11/14/73
AIRWICK INDUS INC CL A MURPHY ROBERT T	2,400	12/10/73	BIO DYNAMICS INC CCM ABELES JOSEPH C	100	
TOTAL.....	2,400		TOTAL.....	5,000	/ /
ALASKA AIRLS INC CCM COSGRAVE KUNALO F	6,500	12/14/73	BOLT BERANEK & NEWMAN INC CCM NEWMAN ROBERT B FOUND	5,000	
KENNEDY BRUCE R	2,333	12/14/73	SAMUEL LABATE FOUND	200	12/11/73
KENNEDY KEITH J	1,533	12/14/73	TOTAL.....	300	12/12/73
TOTAL.....	10,366		TOTAL.....	500	
ALCON LABS INC CCM ALEXANDER R UENNY	2,000	12/14/73	BROWN TOM INC CCM MORRISON R R	5,000	12/12/73
TOTAL.....	2,000		TOTAL.....	5,000	
ALCON ELECTR & IMPULSE CL A WILSON ROBERT A	2,000	12/14/73	BROWNING FERRIS INDS INC CCM HIZE FAMILY TRUST	2,000	12/14/73
TOTAL.....	2,000		TOTAL.....	2,000	
ALDEN SELF TRAN SYS CORP CCM RYCO & CO	1,100	11/09/73	CAMBRIDGE BEVERAGE CORP CCM OSULLIVAN GEORGE P	5,000	12/14/73
TOTAL.....	1,100		TOTAL.....	5,000	
ALUMINUM CO AMER LLP ASSOCIATION FOR VOLUNTARY STERILIZATION	385	12/12/73	CAMBRIDGE CORP CCM LUND NORMAN W	10,000	12/17/73
HGLEY LAND CONSERVATION FUND	100	12/14/73	TOTAL.....	10,000	
ST MARGARET MEMORIAL HOSPITAL	385	12/10/73	CAPITAL NATL CORP CCM DILLON HERRERT L JR	2,000	12/10/73
TOTAL.....	930		TOTAL.....	2,000	
AMAREX INC CCP SOUTHSIDE PLUMBING INC	4,000	/ /	CAVANAGH CMNTYS CORP CCM WINKIS MILTON	3,413	12/15/73
TOTAL.....	4,000		TOTAL.....	3,413	
AMERICAN SILVER AND CO CCM CONRAD MANETA U	500	12/18/73	CENTRAL SOYA INC CCM HAGANS ROBERT F	300	11/13/73
TOTAL.....	500		TOTAL.....	300	
AMERICAN TELEVISION & CCM GALTNEY WILLIAM F	834	12/20/73	CERTAIN TEED PRODS CORP CCM		
TOTAL.....	834				
ANDERSEN ZOO INC CCM DOUGHERTY THOMAS	899	12/12/73			
TOTAL.....	899				
ANDERSON CLAYTON & CO CCM GRIFFIN DUB J		/ /			
TOTAL.....					
ANGELICA CORP CCM PINECREST FUND INC	9,604	12/10/73			
TOTAL.....	9,604				
ANHEUSEN BUSCH INC CCM SCANLAN THOMAS R JR	100	12/20/73			
TR FDU CONUIE M E & S M D WHEELER	50,000	12/12/73			
TOTAL.....	50,700				

ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE	ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
ESTATE OF NELSON GUY M	152	/ /	TOTAL.....	400	
TOTAL.....	152		CROWN CORP CCM ESTATE OF SHIMABUKURO JAMES MASAO	1,575	12/17/73
CHRISTIANA SECS CU CCM TRUST BOB CARPENTER K K M JR	2,254	/ /	TOTAL.....	1,575	
TRUST BOB WRAPER IRENE CARPENTER	2,254	/ /	CUBIC CORP CCM AIRD VERNON J	100	09/26/73
TOTAL.....	4,508		TOTAL.....	100	
CHUMMALLOY AMERN CORP DEL CCM DELP LARRY K	2,000	12/17/73	CYPRUS MINES CORP CCM HARVEY MUDD COLLEGE	3,000	12/12/73
TOTAL.....	2,000		TOTAL.....	3,000	
CHUDB LUMP CCM ESTATE OF MCELROY WILLIAM K	40,000	12/26/73	DAMCN CORP CCM ESTES VERNON D	46,000	12/11/73
TOTAL.....	40,000		TOTAL.....	46,000	
CITIZENS & SOUTHN ALTY INVS SM BEN INT CITIZENS & SOUTHERN NATL OR EMPL SAV & CITIZENS & SOUTHERN PENSION FUND	4,975	/ /	DANIEL INDS INC CCM CHRIST EPISCOPAL CHURCH SCHOOL	574	12/19/73
AT RAP CITIZENS & SOUTHERN NATL OR EMPL SAV & CITIZENS & SOUTHERN PENSION FUND	2,030	/ /	FIRST BAPTIST CHURCH OF GREENVILLE	25	12/19/73
TOTAL.....	15,026		FURMAN UNIVERSITY	62	12/19/73
CUCA COLA CU CCM BRADLEY W C & SARAH M FUHRU BRADLEY W C & SARAH M FUHRU	1,152	12/14/73	MICKEL BUCK	314	12/19/73
TOTAL.....	1,536		UNITED FUND OF GREENVILLE COUNTY	25	12/19/73
COLUMBIA COMMUNICATIONS CORP CCM WILSON JOHN K JR	3,700	12/10/73	TOTAL.....	1,000	
TOTAL.....	3,700		DATA 100 CORP CCM KISCH ROBERT N	3,000	12/14/73
COMBANKS LUMP CCM ANDERSON GEORGE F ANDERSON GEORGE F	1,000	11/16/73	MECHNER FRANCIS	4,691	12/14/73
TOTAL.....	2,000		NATIONAL AVIATION CORP	1,928	/ /
COMUSTELA ENUR INC CCM SCHMETZ CARL J	450	12/07/73	TOTAL.....	9,619	
TOTAL.....	450		DATA RAM CORP CCM KIRBY ANN K	6,000	/ /
COMPREHENSIVE CARE LUMP CCM GREEN JUAN	500	12/11/73	TOTAL.....	6,000	
TOTAL.....	500		DAVOS INC CCM MULHULLAND JOHN J	1,666	01/30/74
COMPUTER USAGE CU CCM MUND BETTIE JANE	6,900	12/10/73	TOTAL.....	1,666	
TOTAL.....	6,900		DECCRATOR INDS INC CCM UNITED JEWISH FEDERATION	5,000	10/24/73
CORRELLY CONTAINERS INC CCM PEPPER ANTHONY J JR	2,000	12/15/73	TOTAL.....	5,000	
TOTAL.....	2,000		DENTSPLY INTL INC CCM		
CONSUMAT SYS INC CCM FLUENES JOHN B	1,000	12/17/73			
TOTAL.....	1,000				
CONTINENTAL AIR LINES INC SUB BOB CURRY SIX AUGREY CUTTER	50,000	12/01/73			
TOTAL.....	50,000				
CONTINENTAL RTU INVS SM BEN INT ALLIEN CHEMICAL PENSION TRUST	5,500	12/12/73			
DOERING CU EMPL RETIRE PLAN VARIABLE BENE	15,000	12/12/73			
DOUGLASS RETIREMENT INCOME TR NO 2	2,500	12/12/73			



ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE	ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
DULING EDWARD W		12/11/73			
TOTAL.....			GEOTEL INC	TOTAL.....	3,600
DISNEY WALT PRODUCTIONS			CCM		
CCM			WARRING LLOYD B		575 / /
EDNA F INC	325	12/14/73	TOTAL.....		575
REDNA INC	430	12/14/73	GERIATRICS INC		
ROY U INC	325	12/14/73	CCM		
TOTAL.....	1,080		BURCHAM JAMES H	TOTAL.....	1,800 11/19/73
DOPLER PRODS INC			GIFFORD HILL & CO INC		1,800
CCM			CCM		
CROSS JUDSON S	900	12/12/73	BISHOP GENE M		1,000 12/13/73
TOTAL.....	900		TOTAL.....		1,000
ECONOMICS LAB INC			GLASROCK PRODS INC		
CCM			CCM		
ESTATE USOURN HERRITT J	14,000	12/14/73	MT OLIVE & STAUNTON COAL CO		5,000 / /
TOTAL.....	14,000		TOTAL.....		5,000
EDUCATIONAL DESIGN INC			GRANDVIEW MINES INC		
CCM			CCM		
EIGEN LEWIS D	11,000	01/01/74	JASPER KARL W		8,000 12/13/73
TOTAL.....	11,000		TOTAL.....		8,000
EDWARDS A G & SONS INC			GRANITEVILLE CO		
CCM			CCM		
DONNELL SAM W	5,000	12/10/73	ESTATE OF SWINT GLADYS CARSON		1,400 12/17/73
TOTAL.....	5,000		TOTAL.....		1,400
EMERSONS LTC			GHAY DRUG STORES INC		
CCM			CCM		
UNITED JEWISH ENDOWMENT FUND OF UJA	300	12/10/73	WEINBERGER CARL N & MIRIAM		2,594 12/24/73
TOTAL.....	300		TOTAL.....		2,594
ENVIRONMENTAL SYS INTL INC			HEALTH ADVANCEMENT INC		
CCM			CCM		
DANA WILLIAM D JR	5,000	12/10/73	GEE DAVID CUST BEN		200 11/26/73
TOTAL.....	5,000		TRUST FBO GEE JOHN MICHAEL		500 11/26/73
EQUITY OIL CO			TRUST FBO GEE WILLIAM S		300 11/26/73
CCM			TOTAL.....		1,000
DUUWAN PAUL M	1,500	12/07/73	HELENA SILVER MINES INC		
TOTAL.....	1,500		CCM		
EVANS & MITCHELL INDUS INC			LACZAY PETER W		18,000 / /
CCM			TOTAL.....		18,000
TRUST FBO MONTAG JAMES LEE	1,147	12/14/73	HERS APPAREL INDS INC		
TRUST FBO MONTAG L ANTHONY	1,146	12/14/73	CCM		
TOTAL.....	2,293		BERNARD INC		1,000 12/20/73
EXTRACORPUSCAL MED SPECIALTIES			TOTAL.....		1,000
CCM			HEWLETT PACKARD CO		
SUMERS ELIZABETH F	1,100	12/13/73	CCM		
SUMERS LEWIS S THIRD	1,000	12/13/73	GIMON JEAN PAUL G		400 11/26/73
TOTAL.....	2,760				
FABRI TEK INC					
CCM					
MICHELSON MEKLYN F	32,000	12/12/73			
TOTAL.....	32,000				
FARINEN ELEC INC					
CCM					
FISHER WESTON C	2,000	12/14/73			
TOTAL.....	2,000				
FEDERATED DEPT STORES INC					
CCM					
ANDERSON RUSS F	1,000	12/13/73			
TOTAL.....	1,000				
FIRST NATL BANKSHARES FLA INC					
CCM					
PRESIDENT & FELLOWS OF HARVARD COLLEGE	40	12/11/73			
TOTAL.....	40				
FIRST VY CORP					
CCM					
KURNET ETHEL M	143	12/12/73			

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HOSPUSABLE PRODS INC CCM HARTZELL GRACE M	TOTAL.....	400	EDM JEWISH FEDERATION COUNCIL OF L A	5,000	12/11/73
HOUSTON COMPLEX INC CLM FNCB CAPITAL CORP	TOTAL.....	1,213 12/17/73	MGIC INVT CORP CCM MCCORMACK JOHN J	TOTAL.....	5,000
HOVERMARINE CORP CCM MILLER J R	TOTAL.....	1,213	MACDONALD E F CO CCM MACDONALD ELTON F	TOTAL.....	2,000 12/04/73
IVAC CORP DEL COM PAR 80.10 CALLAGHINI ARTHUR B	TOTAL.....	5,300 12/12/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	2,000
SWIGERT DAVID PAGAN	TOTAL.....	5,300	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	17,325 12/11/73
INSTRUMENTATION LAB INC CLM IDENTITY INC	TOTAL.....	1,000 11/20/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	17,325
INTERNATIONAL FLAVORS & CCM FRAGRANCES INC	TOTAL.....	1,000	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	1,000 12/07/73
TRUST FBO AUMINCLOSS ALEXANDRA	TOTAL.....	600 12/21/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	1,000
TRUST FBO AUMINCLOSS LILY	TOTAL.....	600 / /	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	2,400 12/14/73
TRUST FBO KIND ANDREW M	TOTAL.....	1,400	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	2,400
TRUST FBO KIND CYNTHIA	TOTAL.....	300 12/10/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	1,050 12/12/73
TRUST FBO KIND KENNETH A	TOTAL.....	300	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	1,050
TRUST FBO KIND LAURA B	TOTAL.....	100 12/11/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	500 12/06/73
TRUST FBO KIND PATRICIA	TOTAL.....	200 12/11/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	500
TRUST FBO KIND VALERIE	TOTAL.....	100 12/11/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	1,334 01/31/74
TRUST FBO VANAMERINGEN HENRY P	TOTAL.....	100 12/11/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	1,334
MITT FRANK E	TOTAL.....	200 12/11/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	8,500 12/14/73
ISOMET CORP CCM ROBERTSON JULIAN H JR	TOTAL.....	200 12/11/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	8,500 12/14/73
JEWELLOR INC CLM LAWRENCE DONALD L	TOTAL.....	77 12/13/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	17,000
K D I CORP CCM HAGEN LEONARD	TOTAL.....	1,277	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	2,500 12/19/73
KAISER INDUS CORP CCM KAISER EDGAR F	TOTAL.....	200 12/14/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	2,500
KEENE CORP CLM ALRIAN E LU	TOTAL.....	200	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	300 12/11/73
KRATOS CCM CAMERON RICHARDSON & CO INC CAMERON RICHARDSON & CO INC	TOTAL.....	900 12/12/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	300
LAUD ENTERPRISES INC CCM TUNSTEN SCIENTIFIC INDUSTRIES INC	TOTAL.....	900	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	3,500 12/11/73
	TOTAL.....	4,000 12/13/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	
	TOTAL.....	4,000	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	
	TOTAL.....	25,000 12/11/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	
	TOTAL.....	25,000	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	
	TOTAL.....	4,800 12/14/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	
	TOTAL.....	4,800	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	
	TOTAL.....	1,000 12/12/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	
	TOTAL.....	2,000 12/13/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	
	TOTAL.....	3,000	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	
	TOTAL.....	8,000 12/19/73	MACDONALD ELTON F CCM MACDONALD ELTON F	TOTAL.....	



ISSUER ISSUE SELLER NAME	TOTAL	SHARES TO SELL	APPROX. DATE OF SALE	ISSUER ISSUE SELLER NAME	TOTAL	SHARES TO SELL	APPROX. DATE OF SALE
RADIATION DYNAMICS INC CCM ORLON UNIVERSITY	TOTAL.....	1,000		SANTA FE INTL CORP CCM ROBINSON J D	TOTAL.....	2,251	
		2,000	/ /			4,000	12/17/73
RADIATION INTL INC CCM GRANDT MORTON	TOTAL.....	2,000		SCHICK INC CCM LEWIS LORETTA Y	TOTAL.....	4,000	
		1,191	12/18/73			7,500	/ /
RAINEUM RES INC CCM CASPER FAMILY YMLA	TOTAL.....	1,191		SCHLITZ JOS BREWING CO CCM UIMLEIN MICHAEL A	TOTAL.....	7,500	
		100	12/10/73			1,000	12/11/73
MOUNT HOPE LUTHERAN CHURCH MU SYNDU	TOTAL.....	300	12/10/73	SCHLUMBERGER LTD CCM COMPAGNIE FINANCIERE DE L UNION EUROPEE	TOTAL.....	1,000	
		400				21,000	12/17/73
KAIDEN OIL COA LTD CCM CHANDLER A H	TOTAL.....	200	11/29/73	CUNNINGHAM DANCE FOUNDATION	TOTAL.....	150	12/11/73
MACRIGGAN GRAHAM		200	12/05/73			21,150	
MURRISON JAMES I	TOTAL.....	100	11/29/73	SECURITY MTG INVS SH BEN INT CONDEY PAUL E	TOTAL.....	700	12/17/73
		500				700	
KAYCOPA INDUS INC CCM DENISE SAMUEL F	TOTAL.....	4,000	02/05/74	SEDCO INC CCM FITCH JOHN C	TOTAL.....	1,500	/ /
TUFFY ROBERT & JANEI E DENISE	TOTAL.....	1,425	02/05/74	TRUST FBO DUROY MARJORIE ORTON	TOTAL.....	500	12/12/73
REAGLES & BATES OFFSHORE CCM SMITH COLLEGE	TOTAL.....	125	/ /	SERVICEMASTER INDS INC CCM CHRISTIAN SERVICE BRIGADE	TOTAL.....	2,000	
		125				800	10/26/73
REEVES BRUS INC CCM REEVES JOHN E JR	TOTAL.....	1,000	12/10/73	SHAPELL INDS INC CCM CONGREGATION BETH ISRAEL	TOTAL.....	800	
		1,000				5,000	11/15/73
REPUBLIC CORP CCM MULBERT EUGEN F	TOTAL.....	1,500	12/14/73	SLOAN TECHNOLOGY CORP CCM DECKER GERARD G	TOTAL.....	1,500	12/10/73
KANADA FRANK A		628	12/14/73			1,500	
MURRAY JOHN F	TOTAL.....	3,050	12/11/73	SONICS INTL INC CCM BYERLY RADFORD	TOTAL.....	2,000	12/14/73
		2,178				100	12/07/73
RESERVE OIL & MINERALS CORP CCM HERI VERG L	TOTAL.....	200	12/11/73	NELLIS ALDEN	TOTAL.....	2,100	
		200		SOUNDESIGN CORP CCM			
RICHARDSON CO CCM ALTAI SAKIP M	TOTAL.....	1,320	12/10/73				
		1,320					
RICHMON INTL CORP CCM FIFTH AVENUE CAPITAL ASSOC	TOTAL.....	8,550	12/14/73				
		8,550					
RICKER MAXLEN CORP CCM MILLER STONEY H	TOTAL.....	493	12/15/73				
		493					
ROSARIO RES CORP CCM FISK FRANK R	TOTAL.....	1,200	12/11/73				
		1,200					
ROUSE CO CCM LAU HANNAKSAJULU COLLEGE	TOTAL.....	250	12/12/73				
FAMILY LIFE CENTER INC	TOTAL.....	1,300	12/15/73				
		1,350					

ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE	ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
UNITED JEWISH APPEAL OF GREATER NY INC	300	12/11/73	THETFORD CORP		
TOTAL.....	300		CCM	17,000	12/31/73
SOUTHLAND RTY CO			SPROUT CAPITAL GROUP		
CCM			TOTAL.....	17,000	
BURNETT J R	300	11/12/73	YILAR INDS INC		
TOTAL.....	300		CCM	3,000	10/04/73
SOVEREIGN INDS INC			DIXON ARTHUR W JR		
CCM			TOTAL.....	3,000	
MIRIER JIMM J	775	12/11/73	TORO CO		
SMITH MARVIN R	10,000	12/12/73	CCM	6,000	12/12/73
WOOD DENNIS R	775	12/11/73	GIBSON ROBERT W		
TOTAL.....	11,550		TOTAL.....	6,000	
SPORTSMANSHIP CORP			TRANSITION ELECTRA CORP		
CCM			CCM	73,249	01/01/74
CLARENCE & GEORGETTE KRIEGER FOUND	500	12/11/73	MUZICKA STANLEY D		
TOTAL.....	500		TOTAL.....	73,249	
STEPAN CHEM CO			TRANSPORT LIFE INS CO		
CCM			CCM	2,700	12/10/73
VANGORUM JENCKE W	1,000	12/13/73	FOXWORTH WALTER L		
TOTAL.....	1,000		TOTAL.....	2,700	
STERLING ELECTRS CORP			TRITON OIL & GAS CORP		
CCM			CCM	3,143	12/20/73
MENNEY MILLEN J	2,050	11/16/73	BONNER B J		
TOTAL.....	2,050		REPUBLIC NATIONAL BANK OF DALLAS	43,000	12/07/73
STORAGE TECHNOLOGY CORP			TOTAL.....	46,143	
CCM			TROPICANA PRODS INC		
CUNNINGHAM BARRY E	3,700	12/17/73	CCM	1,000	12/09/73
MACGUIRE JAMES M	5,500	12/20/73	CALVARY BAPTIST CHURCH		
UNIVERSITY OF SAN FRANCISCO	70	/ /	TOTAL.....	1,000	
TOTAL.....	9,270		TWENTY FIRST CENTY		
SUBURBAN PROPANE GAS CORP			CCM	1,000	/ /
CCM			KOSLOFF THEODORE		
SBN GAS CO	3,000	12/10/73	TOTAL.....	1,000	
SBN GAS CO	3,000	12/12/73	20 SYS INC		
TOTAL.....	6,000		CCM	4,000	/ /
SUNDEAN CORP DEL			CORDEN PETER S		
CCM			TOTAL.....	4,000	
CEAREIDGE COMMUNITY METHODIST CHURCH	100	12/13/73	UA COLUMBIA CABLEVISION INC		
ECKERT UNITED PRESBYTERIAN CHURCH	100	12/13/73	CCM	13,980	12/11/73
HEATON COLLEGE	150	12/13/73	TREMARCO EMELIO		
TOTAL.....	350		TOTAL.....	13,980	
SYMETRICS INC			UNICARE SVCS INC		
CCM			CCM	4,500	/ /
FLANIGAN EDWARD D	1,150	12/13/73	VEROM CO		
TOTAL.....	1,150		TOTAL.....	4,500	
SYNTEA CORP			UNICOM INS GROUP INC		
CCM			CCM	6,000	12/13/73
KRAMER TERRY ALLEN	15,000	12/11/73	FIRESTONE PETER S		
TOTAL.....	15,000				
SYSCO CORP					
CCM					
GRANT WILL BREWER GEORGIA DAVENPORT MAR	600	12/20/73			
TOTAL.....	600				
TEKTRONIX INC					
CCM					
ELLIS DON A	6,000	11/01/73			
TOTAL.....	6,000				
TEMCO SVC INDS INC					
CCM					
COPEN SHELTON	375	12/11/73			
TOTAL.....	375				
TENNA CORP					
CCM					
JEWISH COMMUNITY FED OF CLEVELAND	2,000	/ /			



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UNION CORP CCM NAGENGAST WALTER J	TOTAL.....	6,000			
		445 12/04/73			
UNITED FIRST FLA BKS INC CCM ELDER JOHN N	TOTAL.....	445			
		261 12/12/73			
UNIVERSITY PATENTS INC CCM LICKERMAN HOWARD W	TOTAL.....	261			
		2,500 12/18/73			
UTAH INTL INC CCM BREMNING VAL A	TOTAL.....	2,500			
		3,000 12/17/73			
LELAND STANFORD JUNIOR UNIVERSITY		675 12/13/73			
VCA CORP CCM POTOFF RUBIN	TOTAL.....	3,675			
		10,000 12/14/73			
VALLEYLAB INC CCM REICHENBERG WILLIAM U	TOTAL.....	10,000			
		1,500 01/15/74			
VEECO INSTRS INC CCM TRUST FBO SEVERANCE BARBARA A TRUST FBO SEVERANCE BARBARA A	TOTAL.....	1,500			
		875 12/10/73 437 / /			
VELU BIND INC CCM TRUST FBO DAZZY RENDAL I & FRANKLES JEAN	TOTAL.....	1,312			
		1,400 / /			
VENICE INDS INC CCM STEINHEIMER JOHN	TOTAL.....	1,400			
		465 / /			
WANGU INC CCM MULLER DON M	TOTAL.....	465			
		10,000 / /			
WASHINGTON POST CO CL B TRUST FBO GRAHAM DONALD E	TOTAL.....	10,000			
		1,900 / /			
WELLS RICH GREENE INC CCM VELLANTI EDWARD A	TOTAL.....	1,900			
		600 / /			
WESTERN FINE CORP DEL CCM RUMNEY WAYNE L & JUAN C	TOTAL.....	600			
		18,800 12/13/73			
WEYERHAEUSER CO CCM COW CORP	TOTAL.....	18,800			
		3,800 08/28/73			
CHURCH OF THE GOOD SHEPHERD		150 12/10/73			
MUSSEY JOHN M		93,000 12/14/73			
UNIVERSITY OF SOUTHERN CALIFORNIA		500 12/14/73			
WILSON & CO INC DEL 1972 CCM CLTTER VINCENT P	TOTAL.....	97,450			
		3,000 12/11/73			

## EXPLANATORY NOTES

### FORM 144 FILINGS

Form 144 is a notice of the proposed public sale in ordinary trading transactions of limited amounts of securities owned by persons controlling, controlled by or under common control with the issuer, and by persons who have acquired restricted securities of the issuer.

RECENT REGULATION 13D ACQUISITION REPORTS. Statements containing the information required by Schedule 13D have been filed pursuant to Rule 13d-1 of the General Rules and Regulations under the Securities Exchange Act of 1934 by the beneficial owners listed below. The number of shares of the security which are beneficially owned, and the number of shares concerning which there is a right to acquire are set forth for each beneficial owner.

<u>REPORTING COMPANY OR INDIVIDUAL</u>	<u>ISSUER &amp; NUMBER OF SHARES</u>	<u>DATE FILED</u>
* First Manhattan Co.	I. L. C. Industries, Inc. Common Stock - 36,105 shs.	12- 7-73
* Geneve Corp.	Cable Funding Corp. Common Stock - 405,043 shs.	12-10-73
Neil A. McConnell	Pan Ocean Oil Corp. Common Stock - 1,593,600 shs.	12-10-73
* Pricel S.A. Prixilla S.A.	Dymo Industries, Inc. Capital Stock - 410,700 shs.	12-10-73
* United Fund Inc.	Fairchild Camera & Instrument Corp. Common Stock - 169,400 shs.	12-10-73
Koffman Group	BFL Communications, Inc. Common Stock - 1,275,000 shs.	12-10-73
Tradacomp, Inc.	Transdata Corp. Common Stock - 157,500 shs.	12-10-73
Volta Inc.	Keltec Inc. Common Stock - 312,237 shs.	12-10-73
* Marlow Enterprises Ltd.	Scan-Optics, Inc. Common Stock - 19,600 shs.	12-11-73
* Frandevcor Ventures Ltd.	Scan-Optics, Inc. Common Stock - 14,000 shs.	12-11-73
* Chemical Bank, as Trustee of the Chemical Bank Commingled Employee Benefit Special Fund	Scan-Optics, Inc. Common Stock - 106,400 shs.	12-11-73
* CBT Corp.	Scan-Optics, Inc. Common Stock - 122,842 shs. Common Stock - 15,184 shs. on exercise of Warrants	12-11-73
* United States & Foreign Securities Corp.	Scan-Optics, Inc. Common Stock - 93,333 shs.	12-11-73
* Cockaigne Ltd.	Scan-Optics, Inc. Common Stock - 30,053 shs.	12-11-73
* Amended Acquisition Report		

<u>REPORTING COMPANY OR INDIVIDUAL</u>	<u>ISSUER &amp; NUMBER OF SHARES</u>	<u>DATE FILED</u>
International Mining Corp.	Kawecki Berylco Industries, Inc. Common Stock - 138,479 shs. (An additional 148,659 shs. are held by a 66.4% owned subsidiary)	12-12-73
Source Capital, Inc.	Jewelcor Inc. Common Stock - 255,900 shs.	12-12-73
Jack Farber, Individual Phila. Industries, Inc.	PP&C Companies, Inc. Common Stock - 45,705 shs.	12-13-73
Larry L. Phillips et al	Family Record Plan, Inc. Common Stock - 350,500 shs.	12-13-73
Laurence A. and Preston R. Tisch	Loews Corp. Common Stock - 5,409,250 shs.	12-13-73
Loews Corp.	Walter Kidde & Company, Inc. Common Stock - 496,900 shs.	12-13-73
Meshulam Riklis Isidore A. Becker	Interstate Stores, Inc. Common Stock - 600,000 shs. on exercise of Warrants	12-13-73
Agnes M. Chisholm and Harry T. Devine - Co-Trustees of Marital Trust and the Residuary Trust	Teleflex Inc. Common Stock - 50,267 shs.	12-13-73
Cavenham(Overseas) Ltd. (England)	Grand Union Co. Common Stock - 3,200,000 shs. (Above shs. acquired in tender offer and constitute approximately 51% of the outstanding shares)	12-13-73

ASSETS OF PRIVATE NONINSURED PENSION FUNDS  
Quarterly  
(Book Value, Billions of Dollars)

END OF PERIOD	CASH AND DEPOSITS	U. S. GOVT. SECURITIES	CORPORATE BONDS	PREFERRED STOCK	COMMON STOCK	MORTGAGES	OTHER ASSETS	TOTAL ASSETS
1963 - IV	.77	3.05	19.56	.71	18.12	2.22	2.12	46.55
1964 - I	.80	3.26	19.64	.70	18.76	2.36	2.18	47.91
II	.85	3.04	20.49	.66	19.61	2.51	2.28	49.43
III	.86	3.02	20.85	.66	20.37	2.64	2.38	50.77
IV	.89	3.19	21.42	.65	20.95	2.78	2.54	52.42
1965 - I	.75	3.31	21.89	.65	22.05	2.92	2.49	54.06
II	.73	3.11	22.40	.66	23.01	3.18	2.51	55.60
III	.76	2.89	22.81	.69	24.01	3.30	2.70	57.16
IV	.94	2.99	23.13	.75	25.12	3.38	2.87	59.18
1966 - I	.96	2.94	23.74	.73	25.88	3.51	2.84	60.59
II	.79	2.85	24.16	.73	27.01	3.59	3.20	62.34
III	.72	2.79	24.62	.78	28.06	3.71	3.18	63.86
IV	.90	2.75	25.23	.79	29.07	3.91	3.52	66.17
1967 - I	.90	2.58	25.65	.85	30.47	3.99	3.66	68.10
II	.96	2.39	25.81	.91	32.03	3.98	3.80	69.88
III	1.05	2.33	26.22	.94	33.46	4.06	3.91	71.96
IV	1.32	2.32	26.36	.98	34.95	4.08	4.23	74.24
1968 - I	1.10	2.56	26.74	1.02	36.37	4.09	4.32	76.20
II	1.24	2.56	26.76	1.17	38.01	4.05	4.40	78.19
III	1.40	2.52	26.98	1.34	39.69	4.07	4.48	80.38
IV	1.59	2.76	27.00	1.33	41.74	4.07	4.58	83.07
1969 - I	1.21	2.89	26.84	1.48	43.31	4.08	4.44	84.24
II	1.59	2.66	26.91	1.59	44.95	4.07	4.68	86.44
III	1.52	2.71	27.45	1.72	45.78	4.13	4.64	87.95
IV	1.62	2.79	27.61	1.76	47.86	4.22	4.72	90.58
1970 - I	1.70	2.66	28.04	1.74	49.14	4.29	4.65	92.22
II	1.63	2.64	28.26	1.72	49.53	4.30	4.70	92.79
III	1.82	3.07	28.84	1.72	49.64	4.28	4.68	94.05
IV	1.80	3.03	29.67	1.74	51.74	4.30	4.73	97.01
1971 - I	1.99	3.02	29.05	1.74	54.66	4.16	4.94	99.56
II	1.66	2.60	28.78	1.72	58.31	3.95	4.80	101.82
III	1.78	2.64	28.38	1.73	60.25	3.78	4.88	103.44
IV	1.64	2.73	29.01	1.77	62.78	3.68	4.80	106.42
1972 - I	1.40r	3.07	28.38r	1.67r	65.84r	3.40	4.65r	108.40r
II	1.38r	2.90	28.39r	1.66r	69.67r	3.28	4.62r	111.90r
III	1.56r	3.11	28.74r	1.53r	71.29r	3.12	4.57r	113.92r
IV	1.86r	3.69	28.21r	1.48r	74.58r	3.00	4.71r	117.53r
1973 - I	2.05r	4.00	28.73r	1.42r	76.20r	2.88	4.73r	120.00r
II	1.88	3.88	28.96	1.35	76.16	2.78	5.01	120.02
III	2.36	4.12	29.83	1.26	77.19	2.68	5.20	122.64

NOTE: Includes funds of corporations, unions, multiemployer groups, and nonprofit organizations; also deferred profit sharing plans. Figures may not add to totals because of rounding.

r - revised



STOCK TRANSACTIONS OF SELECTED FINANCIAL INSTITUTIONS AND  
FOREIGN INVESTORS, THIRD QUARTER 1973

In the third quarter, private noninsured pension funds, open-end investment companies, life insurance companies, and property and liability insurance companies purchased \$9.5 billion of stock as compared to \$12.3 billion in the third quarter of 1972; their gross sales of common stock were \$8.4 billion, down from \$10.6 billion a year earlier. Their net purchases fell to \$1.1 billion from \$1.4 billion in the second quarter and \$1.7 billion in the third quarter of 1972.

The decline in trading combined with the rise in stockholdings brought the activity rate to 19.4 percent, the lowest rate since the third quarter of 1966. Common stock activity rates (the average of purchases and sales divided by the average market value during the quarter) began to rise in the mid-nineteen-sixties, reached a peak at the end of the decade, and have been gradually declining in the past few years.

With the addition of the third quarter figures, the year-to-date gross purchases of selected financial institutions reached a total of \$33.8 billion, compared to \$41.9 billion for the first nine months of 1972; year-to-date sales totalled \$29.6 billion, down from \$34.1 billion in 1972. Net purchases for the first nine months of the year declined from \$7.8 billion in 1972 to \$4.1 billion in 1973.

Statistics for the four groups of institutional investors taken separately reveal that each group followed a pattern similar to that of the four groups combined.

Private Noninsured Pension Funds

Private noninsured pension funds purchased \$4.2 billion of common stock and sold \$3.4 billion, with net purchases of \$0.8 billion in the third quarter of 1973. This compares with \$4.8 billion in gross purchases, \$3.6 billion in sales, and \$1.2 billion in net purchases in the third quarter of 1972. The activity rate of these pension funds fell to 14.4 percent from 16.7 percent in the third quarter of 1972, and reached its lowest level since the fourth quarter of 1966.

In the first nine months of 1973 purchases of private noninsured pension funds totalled \$14.6 billion and sales \$11.3 billion compared to \$17.5 billion and \$12.0 billion respectively a year earlier. Cumulative nine-month net purchases were \$3.3 billion, substantially below the \$5.5 billion in the first nine months of 1972.

The book value of assets of pension funds for the third quarter of 1973 and previous quarters are shown on page 17 of this Bulletin. During the third quarter, the book value of common stockholdings rose \$1.0 billion while net purchases were only \$0.8 billion; the difference between these figures may be

attributed to profit realized on sales of stock. Cash and deposits rose by a much larger percentage than did any other asset category--25 percent, compared to a 2 percent rise in total assets.

#### Open-End Investment Companies

Mutual funds reporting to the Investment Company Institute (a group whose assets constitute over 90 percent of all mutual fund assets) were the only one of these institutional groups that recorded net sales of portfolio stock in the third quarter. They were net sellers of stock in every month of the third quarter, and they were net sellers in each of the last nine quarters; in all but one of the last nine quarters mutual fund redemptions of their own shares exceeded sales.

Gross stock purchases of ICI members were \$3.3 billion in the third quarter of 1973 compared to \$4.5 billion a year earlier; gross sales of \$3.8 billion were down from \$5.2 billion in the third quarter of 1972. However, net sales were \$0.5 billion, only slightly below the \$0.6 billion of the third quarter of 1972. The activity rate of mutual funds dropped dramatically from 40.2 percent in the third quarter of 1972 to 34.6 percent in the third quarter of 1973. The highest activity rate ever recorded for mutual funds was 57.4 percent, during the first quarter of 1971.

In the first nine months of 1973 these mutual funds bought \$11.3 billion of stock and sold \$13.3 billion, with resulting net sales of \$2.1 billion. This compares to gross purchases in the first three quarters of 1972 of \$15.5 billion, gross sales of \$16.8 billion, and net sales of \$1.3 billion.

#### Life Insurance Companies

Life insurance companies purchased \$1.2 billion of stock in July-September, 1973, well below the \$1.6 billion purchased in the third quarter of 1972. Sales of \$0.7 billion also were down from \$1.2 billion a year earlier but their net purchases at \$0.5 billion were up from \$0.4 billion in the same quarter in 1972. The activity rate fell to a five-year low of 17.9 percent. In the first nine months of 1973, gross purchases were \$4.6 billion, sales \$2.9 billion, and net purchases \$1.7 billion, compared to purchases of \$5.1 billion, sales of \$3.3 billion, and net purchases of \$1.7 billion in the first nine months of 1972.

Separate and general accounts, which pursue different investment goals, both showed reductions in stock trading. The activity rate for separate accounts was 21.6 percent as compared with 29.5 percent a year earlier, while that for general accounts was 15.6 percent, down from 27.9 percent in the third quarter of 1972. Separate accounts, which contain variable annuity funds, accounted for 64 percent of net purchases of stock in the third quarter of 1973.

#### Property and Liability Insurance Companies

Non-life insurance companies were also trading at a reduced rate in the third quarter. They purchased \$0.9 billion, sold \$0.6 billion, and had net purchases of \$0.3 billion of common stock, compared to \$1.4 billion purchases,

\$0.7 billion sales, and \$0.7 billion net purchases during the same period a year earlier. Year-to-date purchases declined to \$3.2 billion in 1973 from \$3.8 billion for the first nine months of 1972. Sales, however, rose from \$1.9 billion in the first three quarters of 1972 to \$2.0 billion in the first three quarters of 1973. Year-to-date net purchases fell from \$1.9 billion in January-September, 1972 to \$1.2 billion in the comparable period of 1973.

The activity rate, 16.3 percent in the third quarter was the lowest for any quarter in the prior five years.

#### Foreign Investors

In the third quarter, foreign investors (institutions and individuals combined) purchased \$2.8 billion of common and preferred stock in the United States, down from \$2.9 billion a year earlier. They sold \$1.9 billion, much less than the \$2.5 billion recorded in the corresponding quarter of 1972. Their resultant net purchases, however, more than doubled from \$0.4 billion in the third quarter of 1972 to \$0.9 billion in the third quarter of 1973.

Foreign investors purchased \$9.1 billion of stock in the first nine months of 1973; during that period they sold \$6.8 billion and purchased \$2.3 billion net. Corresponding figures for 1972 were \$10.3 billion purchases, \$9.1 billion sales, and \$1.2 billion net purchases. The year-to-date net purchases of \$2.3 billion for 1973 is the highest recorded total for the first nine months of any year since published figures have been available.

#### COMMON STOCK ACTIVITY RATES (annual rate)

	1972				1973		
	1Q	2Q	3Q	4Q	1Q	2Q	3Q
Private noninsured pension funds r	22.9	22.4	16.7	17.5	19.3	14.6	14.4
Open-end investment companies	47.4	46.6	40.2	45.1	43.9	34.6	34.6
Life insurance companies r	31.0	30.9	28.6	28.1	31.8	22.8	17.9
Property and liability insurance companies r	23.9	22.8	24.5	23.9	23.1	17.5	16.3
Total selected institutions	30.6	29.9	24.8	26.2	26.9	20.2	19.4

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#### Block Transactions on the New York Stock Exchange

Block trading on the NYSE (trades of 10,000 or more shares) is considered an indicator of institutional stock market activity, because the market value of block transactions is highly correlated with the more direct measures of

institutional trading reported above. In the third quarter of 1973, 6,980 blocks were traded, comprising 167.1 million shares, as compared to the third quarter of 1972, when 6,932 blocks were traded, comprising 174.5 million shares. Market value of block trades fell to \$4.8 billion from \$5.8 billion a year earlier; year-to-date market value of block trades fell from \$19.5 billion in the first nine months of 1972 to \$16.0 billion in the comparable 1973 period.

## QUARTERLY PURCHASES, SALES AND NET ACQUISITIONS OF COMMON STOCK

(Covers certain financial institutions and foreign investors.  
Data rounded to nearest \$5 million and may not add to totals.)

	1972				1973		
	1Q	2Q	3Q	4Q	1Q	2Q	3Q
Private noninsured pension funds							
Purchases	6,225	6,470	4,770	5,755	6,020	4,425	4,190
Sales	4,135	4,275	3,555	3,685	4,695	3,255	3,370
Net Purchases	2,090	2,195	1,215	2,070	1,325	1,170	820
Open-end investment companies							
Purchases	5,470	5,530	4,535	5,405	4,690	3,295	3,270
Sales	5,810	5,885	5,150	5,710	5,700	3,870	3,765
Net Purchases	-335	-350	-615	-305	-1,015	-575	-495
Life insurance companies <u>1/</u>							
Purchases	1,670	1,800	1,605	1,835	2,035	1,440	1,160
Sales	1,050	1,110	1,185	1,085	1,355	885	705
Net Purchases	620	690	420	755	680	555	460
Property and liability insurance cos.							
Purchases	1,185	1,205	1,395	1,345	1,435	900	895
Sales	630	615	665	825	735	680	580
Net Purchases	555	590	725	520	695	215	315
TOTAL							
Purchases	14,550	15,005	12,305	14,345	14,175	10,060	9,515
Sales	11,625	11,885	10,555	11,305	12,490	8,695	8,415
Net Purchases	2,930	3,120	1,745	3,040	1,685	1,370	1,095
Foreign investors <u>2/</u>							
Purchases	4,055	3,335	2,940	4,030	3,885	2,410	2,800
Sales	3,455	3,165	2,520	3,030	2,580	2,260	1,930
Net Purchases	600	170	420	1,000	1,300	150	870

1/ Includes separate accounts.

2/ Reflects trading in domestic issues including preferred stock.

SOURCE: Pension funds and property and liability insurance companies, SEC; investment companies, Investment Company Institute; life insurance companies, Institute of Life Insurance; foreign investors, Treasury Department.



## EXPLANATORY NOTES

### Over-the-Counter Volume in Exchange Stocks

The volume of over-the-counter trading in exchange stocks (sometimes referred to as the "third market") is based on reports received from broker-dealers pursuant to Rule 17a-9 of the Securities Exchange Act which became effective on January 4, 1965 and was revised on April 1, 1967.

Rule 17a-9 requires that broker-dealers who make over-the-counter markets in common stocks traded on the New York Stock Exchange report quarterly their total third market sales of such stocks in which they make markets. They are also required to report certain over-the-counter sales of such stocks in which they do not make markets, as defined in the Rule. In addition, other broker-dealers must report any third market sales which amount to \$25,000 or more if no other broker-dealer intervened in the transaction. The reporting system is designed to reflect all sales to (or purchases by) persons other than broker-dealers, excluding inter-dealer transactions. Over-the-counter volume shown in the tables, therefore, represents sales to individuals and institutions. Secondary distributions and other syndicated offerings are excluded.

Broker-dealers who make markets in New York Stock Exchange common stocks are required to show separately any over-the-counter purchases and sales which involve members of the New York Stock Exchange. These transactions are made pursuant to Rule 19b-1 of the Securities Exchange Act and New York Stock Exchange Rule 394(b) which both became effective November 7, 1966. These sales are included with total over-the-counter sales.

In comparing total third market sales with New York Stock Exchange volume, it should be noted that exchange volume includes preferred as well as common stocks and also includes sales to specialists and other exchange members as well as sales to the public. Member trading on the New York Stock Exchange generally amounts to about 25% of total trading. In comparing third market volume and exchange volume in any given stock, it should be noted in addition that third market volume includes odd-lot transactions whereas exchange volume for individual stocks, as reported by the New York, the Midwest and the Pacific Stock Exchanges, does not include odd lots.

The 50 New York Stock Exchange stocks for which third market volume is shown were selected on the basis of their continuing high activity in the third market. The list of stocks is subject to change from time to time.

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## INDEX OF SERIES APPEARING IN THE STATISTICAL BULLETIN

SERIES	SERIES TITLE	COVERAGE AND LOCATION						
M-1	ROUND-LOT SHARE VOLUME ON NYSE	CURRENT ISSUE COVERS WEEK ENDED 3 FRIDAYS EARLIER						
M-2	SHARE VOLUME FOR ODD-LOT ACCOUNTS OF ODD-LOT DEALER ON THE NYSE	CURRENT ISSUE COVERS WEEK ENDED 3 FRIDAYS EARLIER						
M-3	ODD-LOT CUSTOMERS SHARE VOLUME ON THE NYSE: 100 COMMON STOCKS	CURRENT ISSUE COVERS 4 WEEKS ENDED 2 FRIDAYS EARLIER						
M-4	ROUND-LOT AND ODD-LOT SHARE VOLUME ON THE AMEX	CURRENT ISSUE COVERS WEEK ENDED 3 FRIDAYS EARLIER						
M-5	FORM 144 FILINGS	CURRENT ISSUE COVERS WEEK ENDED TWO WEEKS AGO						
M-6	FORM 8-K FILINGS	CURRENT ISSUE COVERS WEEK ENDED TWO WEEKS AGO						
M-1	MARKET VALUE AND VOLUME OF SALES ON UNITED STATES STOCK EXCHANGES	PERIOD ENDING PAGE NUMBER	NOV - 72 3	DEC - 72 58	JAN - 73 174	FEB - 73 349	MAR - 73 447	APR - 73 593
M-2	ROUND-LOT AND ODD-LOT SHARE VOLUME ON NYSE - 5 WEEK RECAPITULATION	PERIOD ENDING PAGE NUMBER	12/22/72 4	2/2/73 132	3/2/73 278	3/30/73 416	5/4/73 546	6/8/73 672
M-3	ODD-LOT SHARE VOLUME ON NYSE - 5 WEEK RECAPITULATION	PERIOD ENDING PAGE NUMBER	12/29/73 8	2/9/73 136	3/9/73 282	4/6/73 415	5/11/73 545	6/15/73 671
M-4	ROUND-LOT AND ODD-LOT SHARE VOLUME ON THE AMEX - 5 WEEK RECAPITULATION	PERIOD ENDING PAGE NUMBER	12/22/72 10	2/2/73 134	3/2/73 280	3/30/73 418	5/4/73 568	6/8/73 674
M-5	SELECTED STOCK MARKET INDICATORS	PERIOD ENDING PAGE NUMBER	DEC - 72 -N.A.-	JAN - 73 59	FEB - 73 175	MAR - 73 350	APR - 73 472	MAY - 73 567
M-6	NUMBER AND VALUE OF EFFECTIVE REGISTRATIONS UNDER 1933 ACT	PERIOD ENDING PAGE NUMBER	NOV - 72 20	DEC - 72 60	JAN - 73 176	FEB - 73 351	MAR - 73 448	APR - 73 566
M-7	GROSS PROCEEDS FROM NEW SECURITIES OFFERINGS	PERIOD ENDING PAGE NUMBER	NOV - 72 13	DEC - 72 61	JAN - 73 241	FEB - 73 377	MAR - 73 493	APR - 73 617
M-8	VALUE OF NEW CORPORATE SECURITIES OFFERINGS	PERIOD ENDING PAGE NUMBER	NOV - 72 14	DEC - 72 61	JAN - 73 242	FEB - 73 378	MAR - 73 494	APR - 73 618
M-9	VALUE OF CONVERTIBLE BONDS OFFERINGS	PERIOD ENDING PAGE NUMBER	NOV - 72 18	DEC - 72 63	JAN - 73 243	FEB - 73 379	MAR - 73 495	APR - 73 619
M-10	VALUE OF REGISTERED SECONDARY OFFERINGS OF COMMON STOCK	PERIOD ENDING PAGE NUMBER						
Q-1	SECONDARY AND EXCHANGE DISTRIBUTIONS ON UNITED STATES STOCK EXCHANGES	PERIOD ENDING PAGE NUMBER	QUARTER 4-1972 64			QUARTER 1-1973 496		
Q-2	OVER-THE-COUNTER VOLUME IN NYSE LISTED STOCKS	PERIOD ENDING PAGE NUMBER	QUARTER 4-1972 244			QUARTER 1-1973 620		
Q-3	NET CHANGE IN CORPORATE SECURITIES OUTSTANDING	PERIOD ENDING PAGE NUMBER	QUARTER 4-1972 177			QUARTER 1-1973 571		
Q-4	WORKING CAPITAL: CURRENT ASSETS & LIABILITIES, NON-FINANCIAL U.S. CORPORATIONS	PERIOD ENDING PAGE NUMBER	QUARTER 3-1972 34			QUARTER 4-1972 326		QUARTER 1-1973 623
Q-5	ASSETS OF NONINSURED PENSION FUNDS	PERIOD ENDING PAGE NUMBER	QUARTER 4-1972 288			QUARTER 1-1973 574		
Q-6	STOCK TRANSACTIONS OF SELECTED FINANCIAL INSTITUTIONS	PERIOD ENDING PAGE NUMBER	QUARTER 4-1972 247			QUARTER 1-1973 576		
A-1	WEEKLY TOTALS FROM SERIES W-1, W-2, AND W-4	PERIOD COVERED PAGE NUMBERS	CALENDAR YEAR 1972 96, 97, AND 98					
A-2	MONTHLY TOTALS FROM SERIES W-1, W-2, AND W-4	PERIOD COVERED PAGE NUMBERS	CALENDAR YEAR 1972 65, 66, AND 67					
A-3	ANNUAL SUMMARY OF SERIES M-1	PERIOD COVERED	CALENDAR YEAR 1972, PAGE 68					
A-4	AVERAGE PRICES OF SHARES TRADED ON UNITED STATES STOCK EXCHANGES	PERIOD COVERED PAGE NUMBERS	CALENDAR YEARS 1953 THROUGH 1972 (MONTHLY) 99, 100, 101, AND 102					
A-5	GROWTH RATE TRIANGLE OF NYSE SHARE VOLUME	PERIOD COVERED PAGE NUMBER	CALENDAR YEARS 1954 THROUGH 1972 69					
A-6	GROWTH RATE TRIANGLE OF AMEX SHARE VOLUME	PERIOD COVERED PAGE NUMBER	CALENDAR YEARS 1954 THROUGH 1972 70					
A-7	CHANGES IN SELECTED STOCK MARKET INDICATORS	PERIOD COVERED PAGE NUMBERS	JANUARY 1960 THROUGH JUNE 29, 1973 678 THROUGH 690					
A-8	ANNUAL SURVEY OF PRIVATE NON-INSURED PENSION FUNDS	PERIOD COVERED	CALENDAR YEAR 1972, Page 283 (Preliminary) Page 918 (Final)					
R-1	NEW ISSUES OF CLOSED-END INVESTMENT COMPANIES	PAGE NUMBERS	137, 138, AND 139					
R-2	MARKET VALUE OF OUTSTANDING CORPORATE STOCKS IN THE U. S.	PERIOD COVERED PAGE NUMBERS	CALENDAR YEAR 1972 380 AND 381 (REVISED PAGE 497)					
R-3	STOCKHOLDINGS OF INSTITUTIONAL INVESTORS AND OTHERS	PERIOD COVERED PAGE NUMBERS	YEAR ENDED DECEMBER 31, 1972 519 AND 520					

## INDEX OF SERIES APPEARING IN THE STATISTICAL BULLETIN

SERIES	SERIES TITLE	CORPORATE AND LOCATION
W-1	ROUND-LOT SHARE VOLUME ON NYSE	CURRENT ISSUE COVERS WEEK ENDED 3 FRIDAYS EARLIER
W-2	SHARE VOLUME FOR ODD-LOT ACCOUNTS OF ODD-LOT DEALER ON THE NYSE	CURRENT ISSUE COVERS WEEK ENDED 3 FRIDAYS EARLIER
W-3	ODD-LOT CUSTOMERS SHARE VOLUME ON THE NYSE: 100 COMMON STOCKS	CURRENT ISSUE COVERS 4 WEEKS ENDED 2 FRIDAYS EARLIER
W-4	ROUND LOT AND ODD-LOT SHARE VOLUME ON THE AMEX	CURRENT ISSUE COVERS WEEK ENDED 3 FRIDAYS EARLIER
W-5	FORM 144 FILINGS	CURRENT ISSUE COVERS WEEK ENDED PREVIOUS WEDNESDAY
W-6	FORM 8-K FILINGS	CURRENT ISSUE COVERS WEEK ENDED PREVIOUS WEDNESDAY
W-7	SCHEDULE 13-D AND 14-D REPORTS	CURRENT ISSUE COVERS WEEK ENDED PREVIOUS WEDNESDAY
M-1	MARKET VALUE AND VOLUME OF SALES ON UNITED STATES STOCK EXCHANGES	PERIOD ENDING PAGE NUMBER MAY - 73 670 JUN - 73 801 JUL - 73 913 AUG - 73 1028 SEP - 73 1134 OCT - 73 1245
M-2	ROUND-LOT AND ODD-LOT SHARE VOLUME ON NYSE - 5 WEEK RECAPITULATION	PERIOD ENDING PAGE NUMBER 7/20/73 825 8/24/73 1160 9/28/73 1107 11/2/73 1262
M-3	ODD-LOT SHARE VOLUME ON NYSE - 5 WEEK RECAPITULATION	PERIOD ENDING PAGE NUMBER 7/27/73 832 8/31/73 1159 10/5/73 1109 11/9/73 1244
M-4	ROUND-LOT AND ODD-LOT SHARE VOLUME ON THE AMEX - 5 WEEK RECAPITULATION	PERIOD ENDING PAGE NUMBER 7/20/73 830 8/24/73 1162 9/28/73 1110 11/2/73 1260
M-5	SELECTED STOCK MARKET INDICATORS	PERIOD ENDING PAGE NUMBER JUN - 73 676 JUL - 73 802 AUG - 73 946 SEP - 73 1029 OCT - 73 1164 NOV - 73 1272
M-6	NUMBER AND VALUE OF EFFECTIVE REGISTRATIONS UNDER 1933 ACT	PERIOD ENDING PAGE NUMBER MAY - 73 677 JUN - 73 803 JUL - 73 914 AUG - 73 1030 SEP - 73 1135 OCT - 73 1273
M-7	GROSS PROCEEDS FROM NEW SECURITIES OFFERINGS	PERIOD ENDING PAGE NUMBER MAY - 73 727 JUN - 73 835 JUL - 73 949 AUG - 73 1054 SEP - 73 1191 OCT - 73 1309
M-8	VALUE OF NEW CORPORATE SECURITIES OFFERINGS	PERIOD ENDING PAGE NUMBER MAY - 73 728 JUN - 73 836 JUL - 73 950 AUG - 73 1055 SEP - 73 1192 OCT - 73 1310
M-9	VALUE OF CONVERTIBLE BONDS OFFERINGS	PERIOD ENDING PAGE NUMBER MAY - 73 729 JUN - 73 837 JUL - 73 951 AUG - 73 1056 SEP - 73 1193 OCT - 73 1311
M-10	VALUE OF REGISTERED SECONDARY OFFERINGS OF COMMON STOCK	PERIOD ENDING PAGE NUMBER
Q-1	SECONDARY AND EXCHANGE DISTRIBUTIONS OF UNITED STATES STOCK EXCHANGES	PERIOD ENDING PAGE NUMBER QUARTER 2-1973 838 QUARTER 3-1973 1194
Q-2	OVER-THE-COUNTER VOLUME IN NYSE LISTED STOCKS	PERIOD ENDING PAGE NUMBER QUARTER 2-1973 978 QUARTER 3-1973
Q-3	NET CHANGE IN CORPORATE SECURITIES OUTSTANDING	PERIOD ENDING PAGE NUMBER QUARTER 2-1973 915 QUARTER 3-1973 1274
Q-4	WORKING CAPITAL: CURRENT ASSETS & LIABILITIES OF NON-FINANCIAL U.S. CORPORATIONS	PERIOD ENDING PAGE NUMBER QUARTER 2-1973 980 QUARTER 3-1973 1314
Q-5	ASSETS OF NONINSURED PENSION FUNDS	PERIOD ENDING PAGE NUMBER QUARTER 2-1973 952 QUARTER 3-1973 17
Q-6	STOCK TRANSACTIONS OF SELECTED FINANCIAL INSTITUTIONS	PERIOD ENDING PAGE NUMBER QUARTER 2-1973 953 QUARTER 3-1973 18
S-1	SEMI-ANNUAL SUMMARY OF AVERAGE PRICES OF SHARES TRADED ON UNITED STATES STOCK EXCHANGES	PERIOD COVERED PAGE NUMBERS JANUARY 1953 THROUGH JUNE 1973 804 THROUGH 807 JANUARY 1960 THROUGH NOVEMBER 1973 1277 THROUGH 1286
A-9	TOTAL ASSETS AND COMMON STOCK HOLDINGS OF INSTITUTIONAL INVESTORS	PERIOD COVERED PAGE NUMBERS 1955 - 1972 865, 866, AND 867

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THE PRINTING OF THIS PUBLICATION HAS BEEN APPROVED BY THE  
DIRECTOR OF THE BUREAU OF THE BUDGET (JAN. 22, 1953)



